

Michigan Department of Consumer & Industry Services
Office of Financial and Insurance Services
DISCIPLINARY ACTION REPORT – 4th Quarter 2000
For More Information Call: (877) 999-6442

Location of Subject	Date	Docket #	Respondent(s)	Violation(s)	Penalties	For Further Information Contact
Colts Neck, NJ	10/5/00	SA 0312	Nicholas Bosco 16 Twin Lakes Drive Colts Neck, NJ 07722 CRD No. 2176953	Being the subject of an expulsion order from a national securities association that is registered under the Securities Act of 1934 Section 204(a)(1)(F) Violating or failing to comply with any provision of the Act or Rule or Order issued under the Act Section 204(a)(1)(B)	Revoked and denied with respect to the right of Nicholas Bosco to sell exempt securities or engage in exempt transactions in the future without compliance with the registrations provisions of the Act Securities Agent registration revoked Respondent is censured Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909
Elkton, MI	10/6/00	SN 9410	Steven Lee Craig, President Bryon Lee Incorporated 1858 N. Pinnebog Road Elkton, Michigan 48731	Failure to provide a statement in writing as required by the Administrator Section 407(a)(2) and 407(b)	Revoked and denied with respect to the right of Steven Lee Craig to sell exempt securities or engage in exempt transactions in the future without compliance with the registrations provisions of the Act Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909
Brooklyn, New York	10/11/00	SA 0320	Adam Harold Kaplan 1571 E. 98 th Street Brooklyn, New York 11236 CRD No. 2436956	Being the subject of an order of a state securities administrator denying registration as an agent Section 204(a)(1)(F) Violating or failing to comply with any provision of the Act or Rule or Order issued under the Act Section 204(a)(1)(B)	Revoked and denied with respect to the right of Adam Harold Kaplan to sell exempt securities or engage in exempt transactions in the future without compliance with the registrations provisions of the Act Securities Agent registration revoked Respondent is censured Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909
Traverse City, MI	10/19/00	BD 9331	Terrance G. Buyze 3232 Holiday View Drive Traverse City, Michigan 49686	Offering and selling unregistered, nonexempt securities (fractionalized viatical settlements) Section 301 Omitting to state material facts in connection with the offer and sale of securities Section 101(2)	Cease and Desist Enter into Consent Order will not offer or sell any viatical settlement contracts in the future unless in full compliance with the applicable registration or exemption requirements of the Act	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909
Belmont, MI	11/28/00	SN 6811	Mark Stanley Tomich a/k/a Mike (Mark) Tomich a/k/a Strategic Planning Services 2074 Rogue River Road Belmont, Michigan 49306 CRD No. 1717793	Offering and selling unregistered, nonexempt securities Section 301 Omitting to state material facts in connection with the offer and sale of securities Section 101(2)	Enter into Consent Order with the Office of Financial and Insurance Services: respondent will offer rescission to Michigan purchasers Civil Penalty of \$2,000.00 Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909
Lowell, Michigan	12/8//00	SN 6811	Tim Rittersdorf P.O. Box 42 Lowell, MI 49331	Offering and selling unregistered, nonexempt securities Section 301 Omission to state material facts Section 101(2) Transacting business as a securities	Enter into Consent Order with the Office of Financial and Insurance Services: respondent will offer rescission to Michigan purchasers Civil Penalty of \$3,000.00 Revoked and denied with respect to the right of Tim Rittersdorf to sell exempt securities or engage in exempt transactions in the future without compliance with the registrations provisions of the Act	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909

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				agent without being registered Section 201(a)	Cease and Desist	
Freeland, MI	12/12/00	SN 8673	James D. DeLong 2316 Orr Road Freeland, Michigan 48623 CRD No. 1962282	Being the subject of an order from a national securities association that is registered under the Securities Act of 1934 Section 204(a)(1)(F) Violating or failing to comply with any provision of the Act or Rule or Order issued under the Act Section 204(a)(1)(B)	Revoked and denied with respect to the right of James D. DeLong to sell exempt securities or engage in exempt transactions in the future without compliance with the registrations provisions of the Act Securities Agent registration revoked Respondent is censured Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909
Carmel, IN	12/18/00	SA 0331	Tobin J. Senefeld 517 Kent Lane Carmel, Indiana 46032 CRD No. 2120820	Being the subject of an order of a state securities administrator denying registration as an agent Section 204(a)(1)(F)	Revoked and denied w ith respect to the right of Tobin J. Senefeld to sell exempt securities or engage in exempt transactions in the future without compliance with the registrations provisions of the Act Securities Agent registration revoked Respondent is censured Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement P.O. Box 30701 Lansing, MI 48909